



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: CHRT-99203.DIV

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Box AF, Washington, D.C., 20231, on the below date of deposit.				
Date of Deposit:	12/10/01	Name of Person Making the Deposit:	Julie Gibson	Signature of the Person Making the Deposit:

Inventor(s): Feng Chen, Lup San Leong and Charles Lin

Serial No.: 09/904,981

Group Art Unit:

Filed: 07/12/01

Examiner:

Title: POLISHING APPARATUS AND METHOD FOR FORMING AN INTEGRATED CIRCUIT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
MAR 11 2002
TC 2800 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:
[X] incorrectly entered
and/or
[] omitted.

Error In

- [X] Applicant's name
- [] Applicant's address
- [] Title
- [] Filing Date
- [] Serial Number
- [] Foreign/PCT Application Re:
- [] Other

Correct Data

- Feng Chen, Lup San Leong, and Charles Lin
-
-
-
-
-
-

3.

- A. ☒ The correction(s) is/are not due to any error by the Applicant and therefore no fee is due.

OR

- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under CFR 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is check for \$25.00.

☐ Charge Account 23-0085 \$25.00.

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060

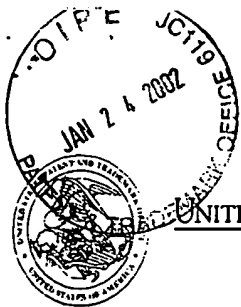
Respectfully submitted,

Date:

12/10/01

By:

John P. Wagner, Jr.
Reg. No. 35,398



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/904,981 ✓	07/12/2001 -	2812	710	CHRT- 99203.DIV	12	9	1

CONFIRMATION NO. 3778

FILING RECEIPT



OC00000006495147

WAGNER, MURABITO & HAO LLP
Third Floor
Two North Market Street
San Jose, CA 95113

Date Mailed: 08/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Feng Gao, Singapore, SINGAPORE;

Assignment For Published Patent Application

Chartered Semiconductor Manufacturing, Ltd.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/415,364 10/08/1999
AND A DIV OF 09/440,772 03/13/2000 *

(*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 08/28/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

RECEIVED
MAR 11 2002
TC 2800 MAIL ROOM

DOCKETED

Date: 9-5-01

Initials: e

RECEIVED

SEP 05 2001

WMH

Polishing apparatus and method for forming an integrated circuit

Preliminary Class

438

Data entry by : MA, WEI-WEN

Team : OIPE

Date: 08/30/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

- This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231